

APPLICANT: Fujita, S. et al  
FILING DATE: May 21, 2001  
SERIAL NO. NA  
INTL FILING DATE: September 11, 2000  
INVENTION: "Secondary Battery"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
09/856431 #5  
3 Rec'd PCT/PTC  
ATTORNEY DOCKET NO. 9799107-0006  
21 MAY 2001

GROUP ART UNIT: Unknown  
EXAMINER: Unknown

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. ASSISTANT COMMISSIONER OF PATENTS  
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office, Box PCT Washington D.C. on May 21, 2001.

Paula M. Theismann  
Paula M. Theismann

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
**INFORMATION DISCLOSURE STATEMENT**

APPLICANT(S):	Fujita, S. et al	DOCKET NO.: 09799107-0006
SERIAL NO.:	Unknown	GROUP ART UNIT: Unknown
DATE FILED:	May 18 2001	EXAMINER: Unknown
INVENTION:	"Secondary Battery"	

Hon. Assistant Commissioner for Patents  
Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED FOREIGN PATENT REFERENCES

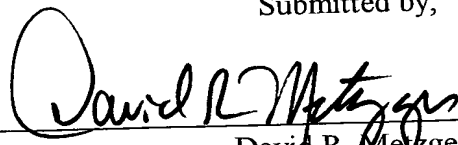
<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
11031534	JP	February 2, 1999
10003948	JP	January 6, 1998
08298117	JP	November 12, 1996
04328278	JP	November 17, 1992
04162370	JP	June 5, 1992
11238515	JP	August 31, 1999
07326342	JP	December 12, 1995

(English abstracts only for above seven cited Japanese references)

II. EXPLANATION OF RELEVANCE

The above-identified references were cited in Japanese Patent Office Search Report of December 5, 2001 in counterpart PCT Patent Application No. PCT/JP00/06181. A copy of the Search Report is submitted herewith.

Submitted by,



(Reg. 32,919)

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